# Leveraged Weighted Loss For Partial Label Learning

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This file consists of supplementaries for both theoretical analysis and experiments. In Section A, we present the proof of Theorem 1 in Section 3. In Section B, we present more detailed settings of the numerical experiments including descriptions of datasets, compared methods, model architecture, and data generation procedures.

# A. Proofs

We present all proofs for Section 3 here. For the sake of conciseness and readability, we denote  $\vec{\mathcal{Y}}^y$  as the collection of all partial label sets containing the true label y, i.e.  $\vec{\mathcal{Y}}^y := \{\vec{y} \in \vec{\mathcal{Y}} | y \in \vec{y}\}.$ 

In order to achieve the risk consistency result for the LW loss in Theorem 1, we first present in Theorem A.1 the risk consistency result for an arbitrary loss function  $\overline{\mathcal{L}}(\vec{y}, g(x))$  under the generalized assumption that partial label sets follows the *label-specific* sampling.

**Theorem A.1** Denote  $q_z := P(z \in \vec{y} | Y = y, x)$ . Then the partial loss function  $\overline{\mathcal{L}}(\vec{y}, g(x))$  is risk-consistent with respect to the supervised loss function with the form

$$\mathcal{L}(y,g(x)) = \sum_{\vec{y}\in\vec{\mathcal{Y}}^y} \prod_{s\in\vec{y},s\neq y} q_s \prod_{t\notin\vec{y}} (1-q_t)\bar{\mathcal{L}}(\vec{y},g(x)), \quad (1)$$

where  $\vec{\mathcal{Y}}^y := \{ \vec{y} \in \vec{\mathcal{Y}} \mid y \in \vec{y} \}$  denotes the partial label set containing label y.

**Proof 1 (of Theorem A.1)** For any  $x \in \mathcal{X}$ , there holds

$$\begin{split} \bar{\mathcal{R}}(\bar{\mathcal{L}},g(X)) \\ &= \mathbb{E}_{\vec{Y}|X}[\bar{\mathcal{L}}(\vec{Y},g(x))|X=x] \\ &= \sum_{\vec{y}\in 2^{[K]}} \bar{\mathcal{L}}(\vec{y},g(x)) \mathrm{P}(\vec{Y}=\vec{y}|X=x) \\ &= \sum_{\vec{y}\in 2^{[K]}} \bar{\mathcal{L}}(\vec{y},g(x)) \sum_{y\in \mathbf{y}} \mathrm{P}(\vec{Y}=\vec{y},Y=y|X=x) \\ &= \sum_{\vec{y}\in 2^{[K]}} \bar{\mathcal{L}}(\vec{y},g(x)) \end{split}$$

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$$\begin{split} & \cdot \sum_{y \in \mathbf{y}} \mathbf{P}(\vec{Y} = \vec{y} | Y = y, X = x) \mathbf{P}(Y = y | X = x) \\ & = \sum_{y=1}^{K} \mathbf{P}(Y = y | X = x) \\ & \cdot \sum_{\vec{y} \in 2^{[K]}} \mathbf{P}(\vec{Y} = \vec{y} | Y = y, X = x) \bar{\mathcal{L}}(\vec{y}, g(x)), \end{split}$$

and

$$\begin{aligned} \mathcal{R}(\mathcal{L}, g(X)) &= \mathbb{E}_{Y|X}[\mathcal{L}(Y, g(x))|X = x] \\ &= \sum_{y=1}^{K} \mathcal{L}(y, g(x)) \mathbf{P}(Y = y|X = x) \end{aligned}$$

Since  $P(\vec{Y} = \vec{y}|Y = y, X = x) = 0$  for  $\vec{y}$  not containing y, if we have

$$\begin{split} \mathcal{L}(y,g(x)) &= \sum_{\vec{y}\in 2^{[K]}} \mathbf{P}(\vec{Y}=\vec{y}|Y=y,X=x)\bar{\mathcal{L}}(\vec{y},g(x)) \\ &= \sum_{\vec{y}\in \vec{\mathcal{Y}}^y} \mathbf{P}(\vec{Y}=\vec{y}|Y=y,X=x)\bar{\mathcal{L}}(\vec{y},g(x)), \\ &= \sum_{\vec{y}\in \vec{\mathcal{Y}}^y} \prod_{s\in \vec{y},s\neq y} q_s \prod_{t\notin \vec{y}} (1-q_t)\bar{\mathcal{L}}(\vec{y},g(x)), \end{split}$$

then there holds

$$\bar{\mathcal{R}}(\bar{\mathcal{L}}, g(X)) = \mathcal{R}(\mathcal{L}, g(X)).$$

Besides, to prove Theorem 1, we need the following result shown in Lemma A.1.

**Lemma A.1** Let y be the true label of input x,  $q_z := P(z \in \vec{y}|Y = y, X = x)$  for  $z \in \mathcal{Y}$ , and  $\vec{\mathcal{Y}}^y := \{\vec{y} \in \vec{\mathcal{Y}}|y \in \vec{y}\}$ . Then there holds

$$\sum_{\substack{\in \vec{\mathcal{Y}}^y}} \prod_{s \in \vec{y}, s \neq y} q_s \prod_{t \notin \vec{y}} (1 - q_t) = 1.$$

**Proof 2 (of Lemma A.1)** Since  $q_y = 1$ , we have

 $\vec{y}$ 

$$\begin{split} &\sum_{\vec{y}\in\vec{\mathcal{Y}}^y}\prod_{s\in\vec{y},s\neq y}q_s\prod_{t\notin\vec{y}}(1-q_t)\\ &=\sum_{\vec{y}\in\vec{\mathcal{Y}}^y}\prod_{s\in\vec{y},s\neq y}1\cdot q_s\prod_{t\notin\vec{y}}(1-q_t) \end{split}$$

$$\sum_{\substack{\vec{y} \in \vec{y} \\ \vec{y} = \sum_{\substack{\vec{y} \in \vec{y} \\ \vec{y} \in \vec{y} \\ \vec{y} \in \vec{y} \\ \vec{y} = \vec{y} \\ \vec{y} \in \vec{y} \\ \vec{y} = \vec{y}$$

where the second last equation holds since  $P(\vec{Y} = \vec{y}|Y = y, X = x) = 0$  for  $\vec{y} \notin \vec{\mathcal{Y}}^y$ .

**Proof 3 (of Theorem 1)** According to Theorem A.1, we have the partial loss function  $\overline{\mathcal{L}}_{\psi}$  consistent with

$$\mathcal{L}_{\psi}(y, g(x))$$

$$= \sum_{\vec{y} \in \vec{\mathcal{Y}}^{y}} \prod_{s \in \vec{y}, s \neq y} q_{s} \prod_{t \notin \vec{y}} (1 - q_{t}) \bar{\mathcal{L}}_{\psi}(\vec{y}, g(x))$$

$$= \sum_{\vec{y} \in \vec{\mathcal{Y}}^{y}} \prod_{s \in \vec{y}, s \neq y} q_{s} \prod_{t \notin \vec{y}} (1 - q_{t}) \sum_{z \in \vec{y}} w_{z} \psi(g_{z}(x))$$

$$+ \beta \cdot \sum_{\vec{y} \in \vec{\mathcal{Y}}^{y}} \prod_{s \in \vec{y}, s \neq y} q_{s} \prod_{t \notin \vec{y}} (1 - q_{t}) \sum_{z \notin \vec{y}} w_{z} \psi(-g_{z}(x)). \quad (2)$$

The first term on the right hand side of (2) is

$$\sum_{\vec{y}\in\vec{\mathcal{Y}}^{y}}\prod_{s\in\vec{y},s\neq y}q_{s}\prod_{t\notin\vec{y}}(1-q_{t})\sum_{z\in\vec{y}}w_{z}\psi(g_{z}(x))$$

$$=\sum_{\vec{y}\in\vec{\mathcal{Y}}^{y}}\prod_{s\in\vec{y},s\neq y}q_{s}\prod_{t\notin\vec{y}}(1-q_{t})w_{y}\psi(g_{y}(x))$$

$$+\sum_{\vec{y}\in\vec{\mathcal{Y}}^{y}}\prod_{s\in\vec{y},s\neq y}q_{s}\prod_{t\notin\vec{y}}(1-q_{t})\sum_{z\in\vec{y}\setminus\{y\}}w_{z}\psi(g_{z}(x))$$

$$=\sum_{\vec{y}\in\vec{\mathcal{Y}}^{y}}\prod_{s\in\vec{y},s\neq y}q_{s}\prod_{t\notin\vec{y}}(1-q_{t})w_{y}\psi(g_{y}(x))$$

$$+\sum_{\vec{y}\in\vec{\mathcal{Y}}^{y}}\sum_{z\in\vec{y}\setminus\{y\}}\prod_{s\in\vec{y},s\neq y}q_{s}\prod_{t\in[K]\setminus\vec{y}}(1-q_{t})w_{z}\psi(g_{z}(x)).$$
(3)

By Lemma A.1, we have

$$\sum_{\vec{y}\in\vec{\mathcal{Y}}^y}\prod_{s\in\vec{y},s\neq y}q_s\prod_{t\notin\vec{y}}(1-q_t)=1,$$

and therefore the first term in (3) becomes

For the second term in (3), since  $z \neq y$  and  $z \in \vec{y}$ , we switch the summations, and achieve

$$\begin{split} &\sum_{\vec{y}\in 2^{[K]}}\sum_{z\in\vec{y}\setminus\{y\}}\prod_{s\in\vec{y},s\neq y}q_s\prod_{t\in[K]\setminus\vec{y}}(1-q_t)w_z\psi(g_z(x))\\ &=\sum_{z\neq y}\sum_{\vec{y}\in\vec{\mathcal{Y}}^z\cap\vec{\mathcal{Y}}^y}\prod_{s\in\vec{y},s\neq y}q_s\prod_{t\in[K]\setminus\vec{y}}(1-q_t)w_z\psi(g_z(x))\\ &=\sum_{z\neq y}w_z\psi(g_z(x))\sum_{\vec{y}\in\vec{\mathcal{Y}}^z\setminus\vec{\mathcal{Y}}^y}\prod_{s\in\vec{y}}q_s\prod_{t\in[K]\setminus\vec{y}\setminus\{y\}}(1-q_t). \end{split}$$

Without loss of generality, we assume y = K for notational simplicity, and write

$$\begin{split} &\sum_{z \neq y} w_z \psi(g_z(x)) \sum_{\vec{y} \in \vec{\mathcal{Y}}^z \setminus \vec{\mathcal{Y}}^y} \prod_{s \in \vec{y}} q_s \prod_{t \in [K] \setminus \vec{y} \setminus \{y\}} (1-q_t) \\ &= \sum_{z \in [K-1]} w_z \psi(g_z(x)) \sum_{\vec{y} \in (2^{[K-1]})^z} \prod_{s \in \vec{y}} q_s \prod_{t \in [K-1] \setminus \vec{y}} (1-q_t) \\ &= \sum_{z \in [K-1]} w_z \psi(g_z(x)) q_z \\ &\cdot \sum_{\vec{y} \in (2^{[K-1]})^z} \prod_{s \in \vec{y}, s \neq z} q_s \prod_{t \in [K-1] \setminus \vec{y}} (1-q_t). \end{split}$$

Applying Lemma A.1 with  $\vec{\mathcal{Y}} = 2^{[K-1]}$ , we have

$$\sum_{\vec{y} \in (2^{[K-1]})^z} \prod_{s \in \vec{y}, s \neq z} q_s \prod_{t \in [K-1] \setminus \vec{y}} (1 - q_t) = 1, \quad (5)$$

and therefore the second term in (3) becomes

$$\sum_{\vec{y}\in 2^{[K]}} \sum_{z\in\vec{y}\setminus\{y\}} \prod_{s\in\vec{y},s\neq y} q_s \prod_{t\in[K]\setminus\vec{y}} (1-q_t) w_z \psi(g_z(x))$$
$$= \sum_{z\neq y} q_z w_z \psi(g_z(x)).$$
(6)

Similarly, by switching the summations, the second term on the right hand side of (2) becomes

$$\begin{split} \beta \cdot \sum_{\vec{y} \in \vec{\mathcal{Y}}^{y}} \prod_{s \in \vec{y}, s \neq y} q_s \prod_{t \notin \vec{y}} (1 - q_t) \sum_{z \notin \vec{y}} w_z \psi(-g_z(x)) \\ &= \beta \cdot \sum_{\vec{y} \in \vec{\mathcal{Y}}^{y}} \sum_{z \notin \vec{y}} \prod_{s \in \vec{y}, s \neq y} q_s \prod_{t \notin \vec{y}} (1 - q_t) w_z \psi(-g_z(x)) \\ &= \beta \cdot \sum_{z \neq y} \sum_{\vec{y} \in \vec{\mathcal{Y}}^{y} \setminus \vec{\mathcal{Y}}^{y}} \prod_{s \in \vec{y}} q_s \prod_{t \notin \vec{y}, t \neq y} (1 - q_t) w_z \psi(-g_z(x)) \\ &= \beta \cdot \sum_{z \neq y} \sum_{\vec{y} \in (2^{[K-1]})^z} \prod_{s \in \vec{y}} q_s \prod_{t \notin \vec{y}} (1 - q_t) w_z \psi(-g_z(x)) \\ &= \beta \cdot \sum_{z \neq y} \sum_{\vec{y} \in (2^{[K-1]})^z} \prod_{s \in \vec{y}} q_s \prod_{t \notin \vec{y}} (1 - q_t) w_z \psi(-g_z(x)) \\ &= \beta \cdot \sum_{z \neq y} q_z \sum_{\vec{y} \in (2^{[K-1]})^z} \prod_{s \in \vec{y}, s \neq z} q_s \prod_{t \notin \vec{y}} (1 - q_t) w_z \psi(-g_z(x)) \end{split}$$

(7)

$$\begin{array}{ll}
110 & = \beta \cdot \sum_{z \neq y} q_z w_z \psi(-g_z(x)), \\
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\end{array}$$

where the last equality holds according to (5).

114 By combining (4), (6), (7), we have

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$$\begin{aligned} \mathcal{L}_{\psi}(y,g(x)) &= w_{y}\psi(g_{y}(x)) + \sum_{z \neq y} q_{z}w_{z}\psi(g_{z}(x)) \\ &+ \beta \cdot \sum_{z \neq y} q_{z}w_{z}\psi(-g_{z}(x)) \\ &= w_{y}\psi(g_{y}(x)) \\ &+ \sum_{z \neq y} q_{z}w_{z} \left[\psi(g_{z}(x)) + \beta\psi(-g_{z}(x))\right]. \end{aligned}$$

## **B.** Supplementary for Experiments

#### **B.1.** Descriptions of Datasets

### 128 129 B.1.1. BENCHMARK DATASETS

In Section 4.1.1, we use four widely-used benchmark
datasets, i.e. MNIST(LeCun et al., 1998), Kuzushiji-MNIST
(Clanuwat et al., 2018), Fashion-MNIST(Xiao et al., 2017),
CIFAR-10(Krizhevsky et al., 2009). The characteristics of
these datasets are reported in Table 1. We concisely describe
these nine datasets as follows.

- MNIST: It is a 10-class dataset of handwritten digits, i.e. 0 to 9. Each data is a 28 × 28 grayscale image.
- Fashion-MNIST: It is also a 10-class dataset. Each instance is a fashion item from one of the 10 classes, which are T-shirt/top, trouser, pullover, dress, sandal, coat, shirt, sneaker, bag, and ankle boot. Moreover, each image is a 28 × 28 grayscale image.
- Kuzushiji-MNIST: Each instance is a 28 × 28 grayscale image associated with one label of 10-class cursive Japanese (Kuzushiji) characters.
- CIFAR-10: Each instance is a 32×32×3 colored image in RGB format. It is a ten-class dataset of objects including airplane, bird, automobile, cat, deer, frog, dog, horse, ship, and truck.

#### B.1.2. REAL DATASETS

In Section 4.1.2, we use five real-world partially labeled datasets (Lost, BirdSong, MSRCv2, Soccer Player, Yahoo! News). Detailed descriptions are shown as follows.

• Lost, Soccer Player and Yahoo! News: They corp faces in images or video frames as instances, and the names appearing on the corresponding captions or subtitles are considered as candidate labels.

- MSRCv2: Each image segment is treated as a sample, and objects appearing in the same image are regarded as candidate labels.
- BirdSong: Birds' singing syllables are regarded as instances and bird species who are jointly singing during any ten seconds are represented as candidate labels.

Tabel 2 includes the average number of candidate labels (Avg. # CLs) per instance.

#### **B.2.** Compared Methods

The compared partial label methods are listed as follows.

IPAL (Zhang & Yu, 2015) : It is a non-parametric method that uses the label propagation strategy to iteratively update the confidence of each candidate label. The suggested configuration is as follows: the balancing coefficient  $\alpha = 0.95$ , the number of nearest neighbors considered k = 10, and the number of iterations T = 100.

PALOC ((Wu & Zhang, 2018)): It adapts the popular onevs-one decomposition strategy to solve the partial label problem. The suggested configuration is the balancing coefficient  $\mu = 10$  and the SVM model.

PLECOC ((Zhang et al., 2017)): It transforms the partial label learning problem to a binary label problem by E-COC coding matrix. The suggested configuration is codeword length  $L = \lceil 10 \log 2(q) \rceil$  and SVM model. Moreover, the eligibility parameter  $\tau$  is set to be one-tenth of the number of training instances (i.e.  $\tau = |D|/10$ ).

Hyper-parameters for these three methods are selected through a 5-fold cross-validation.

Next, we list three compared partial label methods based on neural network models.

PRODEN((Lv et al., 2020)): It propose a novel estimator of the classification risk and a progressive identification algorithm for approximately minimizing the proposed risk estimator. The parameters is selected through grid search, where the learning rate  $lr \in \{10^{-5}, 10^{-4}, ..., 10^{-1}\}$  and weight decay  $wd \in \{10^{-6}, 10^{-4}, ..., 10^{-2}\}$ . The optimizer is stochastic gradient descent (SGD) with momentum 0.9.

RC & CC((Feng et al., 2020)): The former method is a novel risk-consistent partial label learning method and the latter one is classifier-consistent based on the generation model. For the two methods, the suggested parameter grids of learning rate and weight decay are both  $\{10^{-6}, 10^{-5}, \ldots, 10^{-1}\}$ . They are implemented by PyTorch and the Adam optimizer.

For all these three compared methods, hyper-parameters are selected so as to maximize the accuracy on a validation set, constructed by randomly sampling 10% of the training set.

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Table 1. Summary of benchmark datasets.									
Dataset	# Train	# Test	# Feature	# Class					
MNIST	60,000	10,000	784	10					
Kuzushiji-MNIST	60,000	10,000	784	10					
Fashion-MNIST	60,000	10,000	784	10					
CIFAR-10	50,000	10,000	3,072	10					

Table 2. Summary of real-world partial label datasets.

Dataset	# Examples	# Features	# Class	Avg # CLs	Task Domain
Lost	1,122	108	16	2.23	Automatic face naming
BirdSong	4,998	38	13	2.18	Bird song classification
MSRCv2	1,758	48	23	3.16	Object classification
Soccer Play	er 17,472	279	171	2.09	Automatic face naming
Yahoo! Nev	vs 22,991	163	219	1.91	Automatic face naming

The mini-batch size is set as 256 and the number of epochs
is set as 250. They all apply the cross-entropy loss function
to build the partial label loss function.

# 188 **B.3. Details of Architecture**

In this section, we list the architecture of three models, linear, MLP, and ConvNet. The linear model is a linearin-input model: d - 10. MLP refers to a 5-layer fully connected networks with ReLU as the activation function, whose architecture is d - 300 - 300 - 300 - 300 - 10. Batch normalization was applied before hidden layers. For both models, the softmax function was applied to the output layer, and  $\ell_2$ -regularization was added.

The detailed architecture of ConvNet (Laine & Aila, 2016)
is as follows.

- 201 0th (input) layer: (32\*32\*3)-
- <sup>202</sup> 1st to 4th layers: [C(3\*3, 128)]\*3-Max Pooling-
- 5th to 8th layers: [C(3\*3, 256)]\*3-Max Pooling-
- 205 9th to 11th layers: C(3\*3, 512)-C(3\*3, 256)-C(3\*3, 128)-206
  - 12th layers: Average Pooling-10

where C(3\*3, 128) means 128 channels of 3\*3 convolutions followed by Leaky-ReLU (LReLU) active function,  $[\cdot] * 3$ means 3 such layers, etc.

# B.4. Matrix Representations of Alternative Data Generations

Case 1: Each true label has a unique similar label with probability  $q_1 > 0$  to enter the partial label set, while all other labels are not partial labels. When  $q_1 = 0.5$ , the data generation corresponds to the one proposed in (Lv et al., 219 2020). A matrix representation is

Г	1	<i>a</i> .	0	Ο	Ο	Ο	Ο	Ο	Ο	0
	1	$q_1$	0	0	0	0	0	0	0	0
	0	1	$q_1$	0	0	0	0	0	0	0
	0	0	1	$q_1$	0	0	0	0	0	0
	0	0	0	1	$q_1$	0	0	0	0	0
	0	0	0	0	1	$q_1$	0	0	0	0
	0	0	0	0	0	1	$q_1$	0	0	0
	0	0	0	0	0	0	1	$q_1$	0	0
	0	0	0	0	0	0	0	1	$q_1$	0
	0	0	0	0	0	0	0	0	1	$q_1$
	$q_1$	0	0	0	0	0	0	0	0	1

where the element in the *i*-th row and the *j*-th column represents the conditional probability  $P(j \in \vec{Y} | Y = i, x)$ .

**Case 2:** Each true label has two similar labels with probability  $q_1 > 0$  to be partial labels, while all other labels are not partial labels. Here we let  $q_1 = 0.3$ . A matrix representation is

[1	$q_1$	0	0	0	0	0	0	0	$q_1$
$q_1$	1	$q_1$	0	0	0	0	0	0	0
0	$q_1$	1	$q_1$	0	0	0	0	0	0
0	0	$q_1$	1	$q_1$	0	0	0	0	0
0	0	0	$q_1$	1	$q_1$	0	0	0	0
0	0	0	0	$q_1$	1	$q_1$	0	0	0
0	0	0	0	0	$q_1$	1	$q_1$	0	0
0	0	0	0	0	0	$q_1$	1	$q_1$	0
0	0	0	0	0	0	0	$q_1$	1	$q_1$
$q_1$	0	0	0	0	0	0	0	$q_1$	1

**Case 3:** In this case, we allow more pairs of similar labels. For each true label, there exist a pair of most similar labels with probability  $q_1$  to be partial labels, two pairs of less similar labels with probabilities  $q_2$  and  $q_3$  respectively. Assume that  $q_1 > q_2 > q_3 > 0$ . Other labels are taken as non-partial

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)	labels	. W	e let	$q_1 =$	= 0.5	5, $q_2$	= 0	0.3, q	$_{3} =$	0.1.	A n	natrix
1	repres	senta	tion	is								
2		<b>-</b> .				_	_				-	
3		1	$q_1$	$q_2$	$q_3$	0	0	0	$q_3$	$q_2$	$q_1$	
4		$q_1$	1	$q_1$	$q_2$	$q_3$	0	0	0	$q_3$	$q_2$	
5		$q_2$	$q_1$	1	$q_1$	$q_2$	$q_3$	0	0	0	$q_3$	
5		$q_3$	$q_2$	$q_1$	1	$q_1$	$q_2$	$q_3$	0	0	0	
7		0	$q_3$	$q_2$	$q_1$	1	$q_1$	$q_2$	$q_3$	0	0	
8		0	0	$q_3$	$q_2$	$q_1$	1	$q_1$	$q_2$	$q_3$	0	
)		0	0	0	$q_3$	$q_2$	$q_1$	1	$q_1$	$q_2$	$q_3$	
)		$q_3$	0	0	0	$q_3$	$q_2$	$q_1$	1	$q_1$	$q_2$	
1		$q_2$	$q_3$	0	0	0	$q_3$	$q_2$	$q_1$	1	$q_1$	
2		$q_1$	$q_2$	$q_3$	0	0	0	$q_3$	$q_2$	$q_1$	1	

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