# KDLGT: A Linear Graph Transformer Framework via Kernel Decomposition Approach

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### Abstract

In recent years, graph Transformers (GTs) have 1 been demonstrated as a robust architecture for a 2 wide range of graph learning tasks. However, the 3 quadratic complexity of GTs limits their scalability 4 on large-scale data, in comparison to Graph Neural 5 Networks (GNNs). In this work, we propose the 6 Kernel Decomposition Linear Graph Transformer 7 (KDLGT), an accelerating framework for building 8 scalable and powerful GTs. KDLGT employs the 9 kernel decomposition approach to rearrange the or-10 der of matrix multiplication, thereby reducing com-11 plexity to linear. Additionally, it categorizes GTs 12 into three distinct types and provides tailored ac-13 celerating methods for each category to encompass 14 all types of GTs. Furthermore, we provide a the-15 oretical analysis of the performance gap between 16 KDLGT and self-attention to ensure its effective-17 ness. Under this framework, we select two repre-18 sentative GTs to design our models. Experiments 19 on both real-world and synthetic datasets indicate 20 that KDLGT not only achieves state-of-the-art per-21 formance on various datasets but also reaches an 22 acceleration ratio of approximately 10 on graphs of 23 certain sizes. 24

## 25 1 Introduction

Recent years have seen significant advancements in the field 26 of graph learning, with notable successes across a vari-27 ety of domains including social networks [Li et al., 2021; 28 Zhong et al., 2020], molecular graphs [Huang et al., 2020; 29 Wang et al., 2021], and knowledge graphs [Liu et al., 2021; 30 Yasunaga et al., 2021]. One of the key approaches in 31 this field is Graph Neural Networks (GNNs), which have 32 been widely adopted as a powerful embedding approach 33 for various graph learning tasks [Kipf and Welling, 2017; 34

Veličković et al., 2018; Hamilton et al., 2017]. The founda-35 tion of GNNs is the local sparse message-passing mechanism, 36 which enables the nodes on the graphs to iteratively exchange 37 messages through the edges connecting them. However, the 38 limitations of the message-passing mechanism have become 39 increasingly apparent in recent research [Xu et al., 2019; 40 Morris et al., 2019; Maron et al., 2019], leading to a series 41 of works [Ying et al., 2021; Zhang et al., 2020; Chen et al., 42 2022] that have turned to Transformer architectures[Vaswani 43 et al., 2017] in pursuit of new breakthroughs. 44

Graph Transformers (GTs) represent a successful endeavor 45 to deploy Transformer architectures to graph data. By en-46 abling nodes to attend to all other nodes within the graphs, 47 GTs encode graph structures as a soft inductive bias, rather 48 than the hard-coded message-passing approach. In contrast to 49 GNNs, GTs utilize absolute and relative positional encodings 50 (APEs and RPEs) to characterize graph topological struc-51 tures, viewing the graphs as complete entities and enabling 52 long-range interactions for nodes. This overcomes limitations 53 inherent in the message-passing paradigm, such as limited 54 expressiveness [Xu et al., 2019], over-smoothing [Alon and 55 Yahav, 2021], and over-squashing [Alon and Yahav, 2021] 56 issues. However, despite these achievements, there remain a 57 plethora of challenges to be addressed in this area of research. 58

One of the most significant challenges faced by GTs is the 59 poor scalability. This is due to the global attention mecha-60 nism, which results in quadratic time and memory complex-61 ity with respect to the number of nodes in the graph. This 62 problem is particularly pronounced when utilizing GTs on 63 datasets consisting of larger graphs, such as citation and so-64 cial network graphs, as limited GPU memory and excessive 65 running time impede their performance. Consequently, in the 66 application scenarios, GTs do not demonstrate a significant 67 advantage over GNNs. 68

In this work, we present the Kernel Decomposition Linear Graph Transformer (KDLGT), an accelerating framework for building scalable and powerful GTs. Unlike previous model-specific approaches, KDLGT is model-agnostic and aims to provide a general solution for accelerating all GT models. To achieve this, we employ the kernel decompo-74

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sition approach, which rearranges the matrix multiplication 75 order of self-attention by designing a kernel function decom-76 position for the softmax function. Under this approach, the 77 way to deal with RPE matrices becomes the key to reducing 78 time complexity. Inspired by the fact that the RPE matri-79 ces of undirected graphs are symmetric and can be decom-80 posed into products of low-dimensional matrices, we cate-81 gorize RPE matrices into three types, which cover the most 82 commonly used RPEs. Using KDLGT, we select two rep-83 resentative RPEs (such as Shortest Path Distance) and design 84 our models. Additionally, we provide a theoretical analysis of 85 the difference between the KDLGT framework and the tradi-86 tional self-attention method, and prove that this gap can be 87 effectively bounded. 88

We conduct experiments on 10 real-world datasets to 89 demonstrate the superior performance of our proposed 90 KDLGT framework. Additionally, we evaluate KDLGT on 91 a series of synthetic graphs with varying scales to verify its 92 efficiency. The experimental results show that KDLGT not 93 only improves learning efficiency significantly but also pre-94 serves precision performance effectively and achieves state-95 of-the-art results on a variety of datasets. Furthermore, as 96 anticipated, the acceleration ratio increases as the graph size 97 increases, indicating the strong scalability of KDLGT. In par-98 ticular, KDLGT can achieve an acceleration ratio of approx-99 imately 10 on graphs of certain sizes. The contributions of 100 this paper are listed as follows: 101

- · We propose the KDLGT framework, which successfully 102 reduces the quadratic complexity of GTs to linear and 103 improves the scalability of GTs greatly. 104
- We provide a tight upper bound of the difference gap 105 between KDLGT and GTs theoretically to illustrate 106 KDLGT is a well-defined approximation of GTs. 107

· We conduct experiments on both real-world and syn-108 thetic datasets. The experimental results indicate that 109 KDLGT can significantly improve the learning effi-110 ciency while preserving precision performance of GTs 111 well. 112

#### 2 **Related Works** 113

#### 2.1 **Fast Transformers** 114

There have been a lot of works attempting to improve the 115 efficiency of Transformer models. During the earliest pe-116 riod, researchers tend to restrict the context of self-attention 117 to predefined, fixed patterns, thus limiting the size of the at-118 tention matrix and computational complexity. One example 119 of this approach is the chunking paradigm, which involves 120 dividing the input sequence into fixed blocks and considering 121 the local receptive field of each block [Parmar et al., 2018; 122 Qiu et al., 2020]. Another approach is to limit attention to cer-123 tain fixed intervals. Models such as the Sparse Transformer 124 [Child et al., 2019] and Longformer [Beltagy et al., 2020] 125 utilize stridden and dilated context windows for attention. 126

In parallel, another line, the low-rank method, focuses on 127 optimizing the self-attention architecture by approximating 128 the self-attention matrix [Wang et al., 2020]. The primary 129

objective is to reduce the computational complexity of ma-130 trix multiplication from  $N^2$  to kN, where N is the number of 131 tokens and k is a constant dependent on the specific model. 132 One notable example is Linformer [Wang et al., 2020], which 133 shrinks the length dimension of the keys and values to a 134 lower-dimensional representation. Besides, by treating self-135 attention as kernel functions, various low-rank methods can 136 be developed through kernelization approaches [Katharopou-137 los et al., 2020; Choromanski et al., 2020; Peng et al., 2020], 138 which adopt an efficient kernelized reconstruction of the self-139 attention matrix, thereby avoiding computing  $N^2$  matrices. 140

#### **Graph Transformers** 2.2

The initial attempt to incorporate attention mechanism into 142 graph-based models can be traced back to the Graph Atten-143 tion Networks (GAT) architecture [Veličković et al., 2018], 144 which only considers the weights between nodes and one-145 hop neighbors on the graphs. With the development of Trans-146 former architectures, it is found that it is effective to adopt 147 the global receptive field of the Transformers on the graphs 148 [Ying et al., 2021; Kreuzer et al., 2021; Chen et al., 2022; 149 Zhang et al., 2020; Mialon et al., 2021]. Different from the 150 Transformer architectures applied to sequences, the design of 151 graph Transformers emphasizes the use of positional encod-152 ings to capture the topological signal of the graphs. With-153 out such encodings, the Transformer can only operate on the 154 fully-connected graphs. 155

SAN [Kreuzer et al., 2021] adopts Laplacian positional en-156 codings for the nodes and combines two types of attention 157 mechanism, one for virtual fully-connected graphs while an-158 other for real graph edges. Graphormer [Ying et al., 2021] in-159 corporates three different positional encodings, namely cen-160 trality, spatial and edge encoding respectively, combined with 161 dense attention architecture. Besides, it is also the first time to 162 introduce pair-wise graph distances to project RPEs in graph 163 Transformers. Further, GraphiT [Mialon et al., 2021] intro-164 duces RPEs based on diffusion kernels. GraphTrans [Wu et 165 al., 2021] proposes the first hybrid architecture by stacking 166 Message Passing Neural Network and Transformer layers. 167 Later, SAT [Chen et al., 2022] proposes subtree and sub-168 graph extractors to extract structural features on the graphs, 169 and then uses the similarity scores between features to define 170 positional encodings. 171

### **Preliminaries** 3

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In this section, we recap the preliminaries in self-attention 173 and graph Transformers. 174

### Vaswani Self-Attention

The self-attention module is the key component of the Trans-176 former architectures. It can be represented as the following 177 formulation: 178

Att (
$$\mathbf{Q}, \mathbf{K}, \mathbf{V}$$
) = softmax  $\left(\frac{\mathbf{Q}\mathbf{K}^T}{\sqrt{d}}\right)\mathbf{V}$ , (1)

where  $\mathbf{Q}, \mathbf{K}, \mathbf{V} \in \mathbb{R}^{N \times d}$ , N and d denote the length of se-179 quence and embedding dimension, respectively. 180

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Further, after organizing the above formulation, we have:

$$Att(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \mathbf{D}^{-1} \mathbf{A} \mathbf{V}, \qquad (2)$$

$$\mathbf{A} = \exp\left(\frac{\mathbf{Q}\mathbf{K}^{T}}{\sqrt{d}}\right),\tag{3}$$

$$\mathbf{D} = \operatorname{diag}(\mathbf{A}\mathbf{1}_N), \tag{4}$$

where  $\mathbf{1}_{N} \in \mathbb{R}^{N}$  is an all-one vector. In the following discussions, we will ignore the constant  $\sqrt{d}$ , since we can simply set  $\mathbf{Q}' = \mathbf{Q}/\sqrt{d}$  to replace  $\mathbf{Q}$ . It can be noticed that the multiplication complexity between  $\mathbf{D}^{-1}$  and  $\mathbf{AV}$  is O(Nd), while the computation complexity of  $\mathbf{D}$ ,  $\mathbf{A}$  and  $\mathbf{AV}$  is  $O(N^{2})$ ,  $O(N^{2}d)$  and  $O(N^{2}d)$ , respectively.

## 187 Graph Transformers

Compared with sequence data, graph data has rich structure features. In GNNs, the edge-based message passing methods are usually used to describe the graph structures. While for graph Transformers, positional encodings are usually used to construct an RPE matrix to describe structures. In general, it is formulated as:

Att(
$$\mathbf{Q}, \mathbf{K}, \mathbf{V}, \mathbf{B}$$
) = softmax  $\left(\frac{\mathbf{Q}\mathbf{K}^T}{\sqrt{d}} + \mathbf{B}\right)\mathbf{V}$ , (5)

where  $\mathbf{B} \in \mathbb{R}^{N \times N}$  is RPE matrix. (For preventing ambiguity, in the sequel, N represents the number of nodes in the graph.)

### 197 4 Methodology

In this section, we introduce KDLGT acceleration framework
and our models in detail. First, we begin by summarizing
the effective accelerating approaches for Transformers on sequential data.

### 202 4.1 Kernel Decomposition Approach

Most existing methods for accelerating self-attention adopt the kernel decomposition approach. The core idea is to construct a kernel function  $\phi$  to rearrange the order of matrix multiplication and nonlinear function exp in (3), which can be formulated as:

$$\mathbf{A} = \exp(\mathbf{Q}\mathbf{K}^T) \approx \phi(\mathbf{Q})\phi(\mathbf{K})^T =: \mathbf{Q}'(\mathbf{K}')^T, \quad (6)$$

where  $\mathbf{Q}', \mathbf{K}' \in \mathbb{R}^{N \times r}$ , r is the new embedding dimension. In this way, the computation of attention score matrix  $\mathbf{A}$  can be avoided. Instead, we can first compute the multiplication of  $(\mathbf{K}')^T$  and  $\mathbf{V}$ , and then compute the result between  $\mathbf{Q}'$ and  $(\mathbf{K}')^T \mathbf{V}$ . The time complexity of the two steps is both O(Nrd). For (4), similarly, we can reverse the matrix multiplication order by letting  $(\mathbf{K}')^T \mathbf{1}_N$  first. In specific, we can approximate Vaswani self-attention as followings:

$$\widehat{\operatorname{Att}}(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \widehat{\mathbf{D}}^{-1}(\mathbf{Q}'((\mathbf{K}')^T \mathbf{V})),$$
(7)

$$\hat{\mathbf{D}} = \operatorname{diag}(\mathbf{Q}'((\mathbf{K}')^T \mathbf{1}_N)).$$
(8)

The time complexity is reduced from  $O(N^2d)$  to O(Nrd).

Let  $\kappa(\mathbf{x}, \mathbf{y}) = \exp(\mathbf{x}^T \mathbf{y}) \approx \phi(\mathbf{x})^T \phi(\mathbf{y})$ , where  $\mathbf{x}, \mathbf{y} \in \mathbb{R}^d$ . It can be noticed that the most important component in the kernel decomposition approach is the design of kernel function  $\phi$ . In this work, we adopt the following well-defined function  $\phi$ : 212

$$\phi(\mathbf{x}) := \frac{1}{\sqrt{r}} \exp\left(-\frac{||\mathbf{x}||^2}{2}\right) \left(\exp(\mathbf{w}_1^T \mathbf{x}), \dots, \exp(\mathbf{w}_r^T \mathbf{x})\right),$$
(9)

where  $\mathbf{w}_i \sim \mathcal{N}(0, \mathbf{I}_d)$ ,  $r \leq d$  is a sampling number as well as embedding dimension. We do not discuss here the validity and stability of function  $\phi$  and refer readers to [Choromanski *et al.*, 2020] for further details. Specifically, we adopt Gram-Schmidt orthogonalization on  $\mathbf{w}_i$  in experiments to ensure that they are linearly independent towards each other for the validity of sampling.

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### 4.2 Kernel Decomposition Linear Graph Transformer

Further, when we turn our perspective to GT, the RPE matrix223B becomes the most significant difference between GTs and224Transformers. Therefore, we focus on the RPE matrix B and225provide a detailed analysis.226

Here, we only consider undirected graphs. In this scenario, as the graph structure is symmetric, **B** should also be a symmetric matrix. Suppose that the rank of **B** is  $d' \leq N$ , and its d' nonzero eigenvalues are  $\lambda_i, i = 1, \ldots, d'$ , then we can decompose **B** as follows:

$$\mathbf{B} = \mathbf{U} \operatorname{diag}([\lambda_1, \dots, \lambda_{d'}, 0, \dots, 0]) \mathbf{U}^T$$
  
= 
$$\mathbf{U} \operatorname{diag}([\lambda_1, \dots, \lambda_{d'}, 0, \dots, 0]) [:, 0 : d']$$
  
(
$$\mathbf{U} \operatorname{diag}([1, \dots, 1, 0, \dots, 0]) [:, 0 : d'])^T.$$

Let  $\mathbf{B}_q = \mathbf{U} \operatorname{diag}([\lambda_1, \dots, \lambda_{d'}, 0, \dots, 0])[:, 0 : d'], \mathbf{B}_k = \mathbf{U}$  227 diag $([1, \dots, 1, 0, \dots, 0])[:, 0 : d']$ , where  $\mathbf{B}_q, \mathbf{B}_k \in \mathbb{R}^{L \times d'}$ , [: 228 , i : j] represents the i to j rows of the second dimension, then 229 we have  $\mathbf{B} = \mathbf{B}_q \mathbf{B}_k^T$ . 230

Inspired by this, we categorize RPE matrices into the following three types for discussion and propose the Kernel Decomposition Linear Graph Transformer (KDLGT) accelerating framework. 231

### **Multiplication Decomposition**

 $\mathbf{B} = \mathbf{B}_q \mathbf{B}_k^T$ , where  $\mathbf{B}_q, \mathbf{B}_k \in \mathbb{R}^{N \times d'}$  and  $d' \ll N$ , we have:

$$\langle \mathbf{Q}_i, \mathbf{K}_j \rangle + \langle \mathbf{B}_q^{(i)}, \mathbf{B}_k^{(j)} \rangle = \langle [\mathbf{Q}_i, \mathbf{B}_q^{(i)}], [\mathbf{K}_j, \mathbf{B}_k^{(j)}] \rangle.$$

Therefore,  $\mathbf{Q}\mathbf{K}^T + \mathbf{B} = [\mathbf{Q}, \mathbf{B}_q][\mathbf{K}, \mathbf{B}_k]^T$ , where [,] represents concatenation operator. If we view  $[\mathbf{Q}, \mathbf{B}_q]$  and  $[\mathbf{K}, \mathbf{B}_k]$  237 as  $\mathbf{Q}$  and  $\mathbf{K}$  in (6), then it can be accelerated by kernel decomposition approach, and the complexity is O(N(d + d')r). 239

## Addition Decomposition

$$\begin{split} \mathbf{B}_{ij} &= \mathbf{b}_i + \mathbf{b}_j, \, \mathbf{\hat{b}} \in \mathbb{R}^N, \, \text{we have:} \\ &\langle \mathbf{Q}_i, \mathbf{K}_j \rangle + \mathbf{b}_i + \mathbf{b}_j = \langle [\mathbf{Q}_i, \mathbf{b}_i, 1], [\mathbf{K}_j, 1, \mathbf{b}_j] \rangle. \end{split}$$

Thus  $\mathbf{Q}\mathbf{K}^T + \mathbf{B} = [\mathbf{Q}, \mathbf{b}, \mathbf{1}_N][\mathbf{K}, \mathbf{1}_N, \mathbf{b}]^T$ . Same as above, the complexity is O(N(d+2)r) using the kernel decomposition approach.

### Ineffective Decomposition

Suppose the rank of **B** is d' = O(N), in this case, there is no direct effective acceleration method, such as the shortest path distance (SPD). However, we can still design approximate schemes to replace this type of RPE matrix (See subsection 4.3).



Figure 1: Illustration of the accelerating procedure of the KDLGT framework. The top and bottom of the left side represent the LSAT and SAPDGT modules, which are of the multiplication decomposition and addition decomposition types, respectively. The right side represents the rearrange of matrix multiplication order in kernel decomposition approach.

#### 4.3 Our Models 250

As shown in Figure 1, we propose two types of models suit-251

able for different decomposition scenarios under the KDLGT 252 framework. 253

#### Linear Structure-Aware Transformer 254

There are lots of designs of RPE that match multiplication decomposition. We take Structure-Aware Transformer (SAT) [Chen et al., 2022] as an example, which summarizes a series of multiplication-decomposition RPEs. Specifically, the RPE matrix can be represented as:

$$\mathbf{B}_{ij}^{\text{SAT}} = \kappa_{\text{SAT}}(\varphi(v_i, G), \varphi(v_j, G)), \tag{10}$$

$$\kappa_{\text{SAT}}(\mathbf{x}, \mathbf{x}') = \langle \mathbf{W}_q \mathbf{x}, \mathbf{W}_k \mathbf{x}' \rangle / \sqrt{d}, \qquad (11)$$

where  $\mathbf{W}_q, \mathbf{W}_k \in \mathbb{R}^{d \times d}$  are parameter matrices and  $\varphi(v, G)$ 255 is a structure extractor that extracts vector representations 256 of some subgraph centered at v in the graph G. The struc-257 ture extractor here includes k-subtree GNN extractor and k-258 259 subgraph GNN extractor, which describe the structural information of different granularities, respectively. 260

It can be easily noticed that: 261

$$\mathbf{B}^{\mathrm{SAT}} = \mathbf{SW}_q (\mathbf{SW}_k)^T / \sqrt{d}, \qquad (12)$$

where  $\mathbf{S} \in \mathbb{R}^{N \times d}$ ,  $\mathbf{S}_i = \varphi(v_i, G)$ . Then, let  $\mathbf{B}_q^{\text{SAT}} = \mathbf{SW}_q$ , 262  $\mathbf{B}_{k}^{\text{SAT}} = \mathbf{SW}_{k}/\sqrt{d}$ , we have: 263

$$\mathbf{B}^{\mathrm{SAT}} = \mathbf{B}_q^{\mathrm{SAT}} (\mathbf{B}_k^{\mathrm{SAT}})^T.$$
(13)

It is clear that the SAT model can be accelerated under the 264 multiplication decomposition framework. Additionally, other 265 similar RPE designs can also be implemented within this 266 framework. 267

### Shortest Anchor Path Distance Graph Transformer 268

Shortest Path Distance (SPD) is a commonly used type of 269

RPE in GTs. However, unfortunately, in most cases, the rank 270

of the SPD RPE matrix is quite high, which makes it difficult 271

and meaningless to decompose (ineffective decomposition). 272

To address this issue, we propose a new type of distance, 273

Shortest Anchor Path Distance (SAPD) to approximate SPD 274 distance, which can be easily decomposed. 275

The general idea is that we only focus on the distances be-276 tween anchor nodes and nodes rather than recording the dis-277 tances between all pairs of nodes on the graph, thereby ac-278 celerating the computation. Anchor nodes, as a kind of coor-279 dinates, can re-characterize the distance relationship between 280 nodes on the graph. Take arbitrary node  $a \in V$  as anchor 281 node, we define SAPD induced by a as followings: 282

$$d_a(v_i, v_j) = \frac{1}{2}d(v_i, a) + \frac{1}{2}d(a, v_j),$$
(14)

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where  $v_i, v_j \in V, 1 \leq i, j \leq N, d(\cdot, \cdot)$  denotes SPD.

In order to ensure rationality, we adopt linear transforma-284 tion f instead of the embedding method similar to SPD for 285 encoding RPE: 286

$$\mathbf{B}_{ij}^{\text{SAPD}(a)} = f(d_a(v_i, v_j)) = \frac{1}{2}f(d(v_i, a)) + \frac{1}{2}f(d(a, v_j)).$$
(15)

In experiments, we set f(x) = cx, where  $c \in \mathbb{R}$  is a learnable 287 parameter. Considering that the farther the distance is, the 288 lower the weight should be, we set c < 0. Let  $\mathbf{b}^{\text{SAPD}(a)} = [\frac{1}{2}f(d(v_i, a))]_{i=1}^N$ , then we have: 289

$$\mathbf{B}_{ij}^{\mathrm{SAPD}(a)} = \mathbf{b}_i^{\mathrm{SAPD}(a)} + \mathbf{b}_j^{\mathrm{SAPD}(a)}. \tag{16}$$

Therefore,  $\mathbf{B}^{\text{SAPD}(a)}$  can do addition decomposition and thus 291 accelerate. In practice, we select  $K \ll N$  nodes on the graph 292 as anchor nodes and pool the embedding about different an-293 chor nodes to get node features. The overall time complexity 294 is O(NK(d+2)r), which is still linear. 295

In order to ensure that the anchor nodes are evenly dis-296 tributed on the graph, we adopt a greedy algorithm Pavan and 297 Pelillo, 2006] with time complexity of O(N) to solve the k-298 dominant set on the graph as the anchor nodes set, where k is 299 a receptive field hyperparameter. In this way, we can ensure 300 that there is at least one anchor node in the k-hop neighbor-301 hood of each node and the absolute error between SPD and 302 SAPD is within k. that is: 303

$$|d(v_i, v_j) - d_a(v_i, v_j)| \le k.$$
(17)

### **304 5 Theoretical Analysis**

<sup>305</sup> In order to ensure the effectiveness of KDLGT, we ana-

306 lyze the difference gap in the attention matrix distribution of 307 Vaswani self-attention and the kernel decomposition method,

draw the following conclusion and make a detailed proof.

and while following conclusion and make a detailed proc

$$P(\mathbf{Q}_i, \mathbf{K}_j) = \frac{\mathbb{E}[\kappa(\mathbf{Q}_i, \mathbf{K}_j)]}{\mathbb{E}[\sum_{k=1}^N \kappa(\mathbf{Q}_i, \mathbf{K}_k)]},$$
$$P'(\mathbf{Q}_i, \mathbf{K}_j) = \frac{\mathbb{E}[\phi(\mathbf{Q}_i)^T \phi(\mathbf{K}_j)]}{\mathbb{E}[\sum_{k=1}^N \phi(\mathbf{Q}_i)^T \phi(\mathbf{K}_k)]}$$

Then we have:

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$$\frac{1 - c^{\max}}{1 + c^{\max}} \le \frac{P'(\mathbf{Q}_i, \mathbf{K}_j)}{P(\mathbf{Q}_i, \mathbf{K}_j)} \le \frac{1 + c^{\max}}{1 - c^{\max}}$$

309 in which  $c_{ij} = \sqrt{\frac{1}{r}(\exp(\|\mathbf{Q}_i + \mathbf{K}_j\|^2) - 1)}$ , while  $c^{\max} = \max\{c_{ij}\}_{i,j=1}^N$ .

311 *Proof.* For simplicity, here we note  $\kappa'(\mathbf{Q}_i, \mathbf{K}_j) = \phi(\mathbf{Q}_i)^T$ 

312  $\phi(\mathbf{K}_j)$ . According to Lemma 2 in [Choromanski *et al.*, 313 2020], we have:

$$ASE^{\kappa,\kappa'}(\mathbf{Q}_i,\mathbf{K}_j) = (c_{ij}\kappa(\mathbf{Q}_i,\mathbf{K}_j))^2, \qquad (18)$$

314 where  $\mathrm{MSE}^{\kappa,\kappa'}(\mathbf{Q}_i,\mathbf{K}_j) = \mathbb{E}[(\kappa(\mathbf{Q}_i,\mathbf{K}_j) - \kappa'(\mathbf{Q}_i,\mathbf{K}_j))^2].$ 

Since for any random variable  $\mathbf{X}$ ,  $\mathbb{E}(\mathbf{X}^2) = \mathbb{E}^2(\mathbf{X}) + \text{Var}(\mathbf{X})$ ,  $\mathbb{E}[\mathbf{X}] \leq \sqrt{\mathbb{E}[\mathbf{X}^2]}$  holds, we have:

$$\mathbb{E}[\|\kappa(\mathbf{Q}_i, \mathbf{K}_j) - \kappa'(\mathbf{Q}_i, \mathbf{K}_j)\|] \le c_{ij}\kappa(\mathbf{Q}_i, \mathbf{K}_j).$$
(19)

Expanding and rearranging (19), we can derive: (1)

$$(1 - c_{ij})\mathbb{E}[\kappa(\mathbf{Q}_i, \mathbf{K}_j)] \leq \mathbb{E}[\kappa'(\mathbf{Q}_i, \mathbf{K}_j)] \leq (1 + c_{ij})\mathbb{E}[\kappa(\mathbf{Q}_i, \mathbf{K}_j)]$$
(20)

Let  $c_i^{\max} = \max\{c_{ij}\}_{j=1}^N$ . Here, we assume that  $c_{ij} \in [0, 1)$ . Moreover, we obtain:

$$\frac{1-c_{ij}}{1+c_i^{\max}} \le \frac{P'(\mathbf{Q}_i, \mathbf{K}_j)}{P(\mathbf{Q}_i, \mathbf{K}_j)} \le \frac{1+c_{ij}}{1-c_i^{\max}}.$$
 (21)

<sup>319</sup> Finally, after further scaling, we have:

$$\frac{1-c^{\max}}{1+c^{\max}} \le \frac{P'(\mathbf{Q}_i, \mathbf{K}_j)}{P(\mathbf{Q}_i, \mathbf{K}_j)} \le \frac{1+c^{\max}}{1-c^{\max}}.$$
 (22)

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It can be easily verified that  $P'(\mathbf{Q}_i, \mathbf{K}_j) = P(\mathbf{Q}_i, \mathbf{K}_j)$  for 321  $\forall i, j \in \{1, 2, \dots, N\}$  if  $c^{\max} = 0$ , which means that the dis-322 tance of Vaswani and approximated self-attention matrix dis-323 tributions is upper-bounded by the constant  $c^{\max}$ . Besides, 324 this upper bound increases monotonically with  $c^{\max}$ . It is 325 worth noting that we have assumed that the range of  $c_{ij}$  is 326 [0, 1) in the proof. This assumption can be validated from an 327 experimental point of view. In practice, after normalization 328 layers which are stacked following self-attention blocks, the 329 mean and variance of  $Q_i$  and  $K_j$  are 0 and 1, respectively. 330 Therefore,  $\mathbb{E}[c_{ij}] = 0$ , and this also implies that  $c^{\max}$  has 331 a large probability distribution around 0, which we also ver-332 ify in Section 6.2. In conclusion, the difference between the 333 two matrix distributions can be effectively controlled theoret-334 ically. Therefore, our KDLGT framework is a well-defined 335 linear approximation of quadratic GTs. 336

### **6** Experiments

In this section, we present an evaluation of the precision and efficiency of our proposed Linear Structure-Aware Transformer (LSAT) and Shortest Anchor Path Distance Graph Transformer (SAPDGT) in comparison to state-of-the-art models on several graph benchmark datasets. 342

### 6.1 Experimental Setup

### Datasets

We investigate the performance of LSAT and SAPDGT on both real-world datasets and synthetic datasets. The dataset statistical details are presented in Table 1. 347

For real-world datasets, 6 graph-level datasets and 4 348 node-level datasets are adopted. The benchmarking-GNN 349 [Dwivedi et al., 2020] (ZINC), OGB [Hu et al., 2020] 350 (OGBG-MOLHIV) and TUD [Morris et al., 2020] (MUTAG, 351 COX2\_MD, PROTEINS, NCI1) are all popular graph-level 352 benchmark datasets, where each graph represents a molecule, 353 and nodes represent atoms in the molecules. The Cora, Cite-354 seer and PubMed [Yang et al., 2016] are popular citation 355 datasets, whose nodes represent academic papers and node 356 features are the word bag of papers. LastFM-Asia [Rozem-357 berczki and Sarkar, 2020] is a social network that was col-358 lected from the public API in March 2020. Their nodes are 359 LastFM users from Asian countries and edges are mutual 360 follower relationships between them. The node features are 361 extracted based on the artists liked by the users. In the ex-362 periments, for the datasets without public splits, we use ran-363 dom split with the ratio of training/validation/test sets being 364 7/1.5/1.5. 365

For synthetic datasets, we generate a series of graphs for efficiency experiments. The size of the synthetic graphs increases from  $2^{11}$  to  $2^{14}$  in proportion to  $\sqrt{2}$ . (When the size is a non-integer, it will be rounded down.) Besides, in order to limit the density of the graph, we adopt 6-regular graphs here. 370

Dataset	# graphs	# classes	Avg # nodes	Avg # edges	
ZINC	~250,000	_	23.2	49.8	
OGBG-MOLHIV	41127	2	25.5	27.5	
MUTAG	188	2	17.9	57.5	
COX2_MD	303	2	41.2	43.5	
PROTEINS	1113	2	39.1	184.7	
NCI1	4110	2	29.8	94.5	
Cora	1	7	2708	5429	
Citeseer	1	6	3312	4732	
PubMed	1	3	19717	44338	
LastFM-Asia	1	18	7624	27806	

Table 1: Statistics of real-world datasets. The symbol in # classes column represents the regression task.

### Baselines

In the experiments, in addition to comparing with SAT and Graphormer which are quadratic-complexity graph Transformers and used as precision performance upper bound, we also select the following effective graph Transformers as strong baselines. 377

• GPS [Rampášek *et al.*, 2022] GPS is an effective 378 GT architecture designed through GNN + Performer 379

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paradigm. In experiments, we use its Laplacian eigenvectors encodings (LapPE) and random-walk structural
encoding (RWSE) as position encodings. In order to
avoid the influence of the GNN encoder in the GPS
model, we set None (no GNN encoder) as the comparison experiment.

- GKAT [Choromanski *et al.*, 2022] GKAT is a GT model
   which applies low-rank masked attention via Random
   Walks Graph-Nodes Kernel (RWGNK).
- DGT [Park *et al.*, 2022] DGT reduces self-attention
   quadratic time complexity by performing sparse atten tion with dynamically sampled key and value pairs.

### 392 Settings

Our models are implemented in PyTorch [Paszke et al., 393 2017]. We use Adam [Kingma and Ba, 2015] as the op-394 timizer and set hyper-parameter  $\epsilon$  to 1e-7 and  $(\beta_1, \beta_2)$  to 395 (0.99, 0.999), respectively. Besides, the initial learning rate 396 is set to 1e-4 with a linear decay learning rate scheduler. The 397 training and inference batch sizes are both set to 128. All 398 models are trained and evaluated on 3 NVIDIA RTX 3090 399 GPUs for the fairness of efficiency comparison. 400

### 401 6.2 Experimental Results

### 402 Graph and Node Representation Experiments

Table 2 summarizes the performance of LSAT and SAPDGT 403 on graph-level and node-level datasets. First of all, in general 404 it can be observed that the precision performance degradation 405 of LSAT and SAPDGT is not significant compared to SAT 406 and Graphormer, respectively. Additionally, it is notewor-407 thy that SAPDGT achieves better results than its upper bound 408 Graphormer on the MUTAG, PROTEINS, and NCI1 datasets, 409 and LSAT also outperforms its upper bound SAT on the Cora, 410 Citeseer, and LastFM-Asia datasets. This highlights the ex-411 ceptional generalization capability of our proposed KDLGT 412 framework. 413

Specifically, on graph-level tasks, LSAT and SAPDGT 414 achieve state-of-the-art results on the OGBG-MOLHIV, 415 COX2\_MD, PROTEINS, and NCI1 datasets. Besides, there 416 is a significant performance margin between LSAT, SAPDGT 417 and baselines on the NCI1 dataset. Furthermore, by compar-418 ing the results of GPS with or without GNN encoders, it can 419 be observed that the contribution of GNN encoders to the per-420 formance of GPS is substantial. If the blessing of GNN en-421 coders is lost, the performance of GPS will drop sharply. We 422 think that this method of using GNN encoders to enhance per-423 formance is not specific to GPS and is applicable to various 424 425 GT models, including our proposed models. Therefore, it is fairer and more reasonable to compare the performance of our 426 model with the GPS model without GNN encoders. Under 427 these conditions, it can be observed that LSAT and SAPDGT 428 achieve state-of-the-art results on all graph-level datasets. 429

In regards to node-level tasks, unfortunately, it can be noticed that SAT and Graphormer do not perform well on node
classification datasets with high edge homogeneity such as
the Cora, Citeseer, and PubMed datasets. As a result, LSAT
and SAPDGT do not exhibit significant advantages over the



Figure 2: The accelerating ratio experimental results of the LSAT towards the SAT. The left and right sub-graphics represent the results of evaluating (forward only) and training (including both forward and backward), respectively. The parameter d denotes the embedding dimension of the models. The exceeding of the x-tick range indicates the out-of-memory problem of the SAT.

baselines on these datasets. Conversely, on the node classification datasets with low edge homogeneity such as LastFM-Asia, LSAT outperforms the baselines by a significant margin. This illustrates that LSAT is more suitable for learning on large-scale, low-homogeneity graph data.

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### **Efficiency Experiments**

Here, we take the multiplication decomposition type, specif-441 ically LSAT, as an example, and use the accelerating ratio as 442 a metric to analyze the performance of our proposed KDLGT 443 framework under different graph sizes and model settings of 444 embedding dimension d. The number of attention heads is 445 fixed at 4 and the number of label classes of synthetic data is 446 set to 5. The results of evaluating (forward only) and train-447 ing (including both forward and backward) are recorded and 448 presented in Figure 2. <u>44</u>0

First of all, it can be noticed that LSAT exhibits signifi-450 cant acceleration efficiency compared to SAT on large-scale 451 graph data, achieving a remarkable result of 9.63x under cer-452 tain data scales. Since LSAT is linear complexity while SAT 453 is quadratic, it is expected that the acceleration ratio should 454 increase linearly with respect to graph size N in theory, which 455 is also supported by the results presented in Figure 2. It 456 should be noted that here the horizontal axis is  $\log_2(N)$ , thus 457 the curve actually grows linearly. 458

Moreover, the embedding dimension d also plays an im-459 portant role. Generally, as the ratio of N/d increases, the ac-460 celeration ratio becomes more pronounced. In extreme cases, 461 such as when  $N \leq 2^{11.5}$ , the acceleration ratio may be less 462 than 1 due to the relatively small size of the graph, where d463 becomes the dominant factor affecting efficiency. In this sce-464 nario, the time saved by the KDLGT framework is less than 465 the time required for other additional operations (such as sam-466 pling), resulting in an overall increase in time. This indicates 467 that the KDLGT framework is more suitable for accelerating 468 large-scale data, which aligns with the goal of this research. 469

Lastly, it is not surprising that the KDLGT framework also optimizes the space complexity of the Vaswani self-attention. 470 In the experiments, we notice that when  $N > 2^{14}$ , an out-ofmemory (OOM) problem occurs for SAT while LSAT can still operate normally. In conclusion, the KDLGT framework achieves obvious advantages in both time complexity and space complexity when applied to large-scale graph data. 470

Model	ZINC	OGBG-MOLHI	V MUTAG	COX2_MD	PROTEINS	NCI1
	MAE↓	AUCROC↑	Acc↑	Acc↑	Acc↑	Acc↑
SAT	$0.082 \pm 0.004$	$79.54{\pm}1.32$	$92.26 \pm 1.66$	$70.63 \pm 1.54$	$77.51 \pm 2.43$	$81.69{\pm}~1.08$
Graphormer	$0.122 \pm 0.006^{*}$	$74.55{\pm}1.06$	$92.30 \pm 2.73$	$68.33 {\pm}~0.71$	$75.10{\pm}~1.07$	$78.95{\pm}1.52$
GPS (None + LapPE)	$0.425 {\pm}~0.081$	$71.15{\pm}1.59$	$87.21 \pm 3.28$	$65.22{\pm}1.01$	$72.02{\pm}~1.51$	$68.07 {\pm}~1.33$
GPS (GNN + LapPE)	$0.131{\pm}~0.003$	$\textbf{76.60}{\pm 0.63}$	90.65± 1.23	$67.39 \pm 0.62$	$75.60 \pm 1.22$	$71.47 \pm 0.89$
GPS (None + RWSE)	$0.213 \pm 0.008$	$73.09 {\pm}~0.88$	$86.21 \pm 2.09$	$68.89 \pm 0.96$	$71.43 {\pm}~2.88$	$73.26{\pm}1.97$
GPS (GNN + RWSE)	$0.070 \pm 0.004*$	$\textbf{78.80} {\pm 0.49}{*}$	$91.38 \pm 0.77$	$73.91{\pm}0.35$	$74.91 \pm 0.98$	$75.53{\pm}1.48$
GKAT	-	-	$87.94 \pm 1.54$	$63.03 \pm 1.14$	$\textbf{75.80}{\pm}~\textbf{3.80}{*}$	$75.20 \pm 2.40 *$
LSAT	$\textbf{0.130}{\pm 0.002}$	$\textbf{78.98}{\pm}~\textbf{1.78}$	$90.18 \pm 1.85$	69.69±1.32	$\textbf{76.22}{\pm}~\textbf{1.64}$	$\textbf{81.33}{\pm 0.71}$
SAPDGT	$0.159 {\pm 0.008}$	$73.75{\pm}1.41$	$93.16{\pm}3.32$	$67.76 \pm 1.68$	$\textbf{77.27}{\pm}~\textbf{2.05}$	$\textbf{81.99}{\pm}~\textbf{1.03}$
Mo	odel	Cora	Citeseer	PubMed	LastFM-Asia	
SA	Т	$83.06 {\pm}~0.81$	$73.83 {\pm}~0.79$	$89.19 {\pm}~0.29$	$85.33 {\pm}~0.79$	
Graphormer		$73.34{\pm}0.43$	$64.51 {\pm}~0.53$	OOM	$70.88 {\pm}~0.94$	
GPS (None + LapPE)		$80.98 {\pm}~0.76$	$75.90{\pm}~0.65$	OOM	$72.57 {\pm}~0.86$	
GPS (GNN + LapPE)		$84.05 \pm 0.59$	$85.30 {\pm}~0.28$	OOM	$73.31 \pm 0.41$	
GPS (None + RWSE)		$82.08 {\pm}~0.52$	$\textbf{81.30}{\pm}~\textbf{1.01}$	OOM	$78.14 {\pm}~0.55$	
GPS (GNN + RWSE)		$89.37 {\pm 0.19}$	$\textbf{90.89}{\pm 0.38}$	OOM	$\textbf{80.83}{\pm 0.52}$	
GKAT		$73.84 {\pm}~0.94$	$69.22 \pm 0.80$	$\textbf{72.31}{\pm 0.58}$	$\textbf{77.65}{\pm}~\textbf{1.02}$	
DGT		$87.45 \pm 0.60^{*}$	$77.04{\pm}~0.57{*}$	$\textbf{89.22}{\pm 0.14}{*}$	-	
LSAT		$83.37 {\pm}~0.21$	$74.03 {\pm}~0.32$	<b>89.03</b> ± 0.06	$\textbf{85.42}{\pm 0.27}$	
SAPDGT		$72.02{\pm}~0.85$	$62.63 {\pm}~1.17$	OOM	$71.27{\pm}~1.34$	

Table 2: Test performance on graph-level (upper) and node-level (lower) datasets. Shown results are the mean  $\pm$  s.d. of 10 runs with different random seeds. Results with \* are taken from the corresponding works. OOM represents out of memory. Highlighted ones are the top first, second, third results, respectively.



Figure 3: The distribution of the  $c^{\max}$  in the bottom and top model layers.

### 477 The Distribution of the $c^{\max}$

We conduct the  $c^{\max}$  distribution experiment on the NCI1 478 dataset as ablation studies. We adopt a three-layer LSAT 479 model and fix the parameters after training for experiments. 480 For visualization, we randomly sample 1,000 graphs and use 481 the frequency of occurrence to approximate the probability 482 distribution. In particular, we directly obtain the maximum 483 value of the  $c^{\max}$  of different attention heads for simplicity. 484 The experimental results are shown in Figure 3. 485

486 Overall, it can be observed that the distribution of the  $c^{\max}$ 487 is concentrated around 0, which supports our assumption in 488 Section 5. Therefore, it is reasonable to assert that  $c_{ij} \in [0, 1)$ 489 for  $\forall i, j \in \{1, 2, ..., N\}$ .

Taking the result of the bottom layer as an example, we can verify the distribution gap between SAT and LSAT attention matrix exactly. The expectation and maximum values of  $c^{\max}$ are around 0.1 and 0.3, corresponding to the upper bound value  $1 + c^{\max}/1 - c^{\max}$  of 1.22 and 1.86, respectively. The difference between the two distributions at the expectation value is not significant. Considering the extremely infrequent occurrence of maximum value, we think this numerical behavior is acceptable.

Furthermore, it can be noticed that the expected value and 490 the maximum value of the top layer is smaller than those of 500 the bottom layer. We speculate that this is the result of the 501 normalization layers in the GTs. The data features which are 502 fed to the top layer, compared with the bottom layer, have 503 gone through more normalization layers. As a result, the 504 mean and variance of them are more stable, so the distribu-505 tion of the  $c^{\max}$  is closer to 0. Therefore, it can be inferred 506 that the closer to the top layer, the better the approximation 507 performance of our KDLGT framework. 508

509

## 7 Conclusion

In this work, we present the Kernel Decomposition Linear 510 Graph Transformer (KDLGT), an accelerating framework for 511 building scalable and powerful GTs. Under KDLGT frame-512 work, we select two representative GTs and design our mod-513 els LSAT and SAPDGT. On one hand, a rigorous theoretical 514 analysis is conducted to ensure performance guarantees. On 515 the other hand, a series of experiments are carried out to eval-516 uate the KDLGT in terms of precision and efficiency. Both 517 the theoretical analysis and experimental results demonstrate 518 that the KDLGT not only significantly improves learning ef-519 ficiency but also preserves the precision performance of the 520 GTs and achieves state-of-the-art results on various datasets. 521

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